



Enrolment Form No. \_\_\_\_\_

**DISTRIBUTOR / BROKER / SCSB INFORMATION** (To ensure to treat the application as "DIRECT" please do not leave the boxes below blank and read the instruction 1(b))

Name and AMFI Reg. No. <b>ARN-</b>	Sub Agent's Name and AMFI Reg. No.	Bank Serial No.	SBFS Serial No.
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Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Sub-broker Code (As allotted by ARN holder)	EUIN
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I/We hereby confirm that the EUIN box has been intentionally left blank by me/ us as this transaction is executed without any interaction or advice by the employee/ relationship manager/ sales person of the above distributor/ sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/ sales person of the distributor/ sub broker.

**SIGNATURE(S)** \_\_\_\_\_  
 First / Sole Applicant / Guardian      Second Applicant / Guardian      Third Applicant / Guardian

Existing Folio No. \_\_\_\_\_

Name of the First / Sole Applicant	PAN Enclosed* ( <input checked="" type="checkbox"/> ) <input type="checkbox"/> PAN card proof <input type="checkbox"/> KYC Confirmation proof
Name of the Guardian** (in case of First / Sole Applicant is a minor)	PAN Enclosed* ( <input checked="" type="checkbox"/> ) <input type="checkbox"/> PAN card proof <input type="checkbox"/> KYC Confirmation proof
Name of the Second Applicant	PAN Enclosed* ( <input checked="" type="checkbox"/> ) <input type="checkbox"/> PAN card proof <input type="checkbox"/> KYC Confirmation proof
Name of the Third Applicant	PAN Enclosed* ( <input checked="" type="checkbox"/> ) <input type="checkbox"/> PAN card proof <input type="checkbox"/> KYC Confirmation proof
Name of the PoA Holder	PAN Enclosed* ( <input checked="" type="checkbox"/> ) <input type="checkbox"/> PAN card proof <input type="checkbox"/> KYC Confirmation proof

\*\* If the Sole / First Applicant is a Minor then state Guardian's PAN Number.

**STP DETAILS**

Transfer From (Transferor Scheme)		Transfer To (Transferee Scheme)	
Name of Scheme			
Plan			
Option			
Frequency (Please <input checked="" type="checkbox"/> any one)	<input type="checkbox"/> Weekly STP	<input type="checkbox"/> Fortnightly STP	<input type="checkbox"/> Monthly STP (Default) <input type="checkbox"/> Quarterly STP (Refer instruction 11 overleaf)
STP Date	Weekly STP 1st, 7th, 15th and 25th	Fortnightly STP 1st and 15th	Monthly and Quarterly STP (Please <input checked="" type="checkbox"/> any one only) <input type="checkbox"/> 1st of the month <input type="checkbox"/> 7th* of the month <input type="checkbox"/> 15th of the month <input type="checkbox"/> 25th of the month *Default. (Refer instruction 11 overleaf)
Enrolment Period	From DD / MM / YYYY To DD / MM / YYYY		
Amount of Transfer per Week / Fortnight / Month / Quarter	Fixed Amount Rs. _____	OR	<input type="checkbox"/> Capital Appreciation
Contact Details	STD Code _____	Tel. Off. _____	Extn. _____
Mobile	_____	Tel. Resi. _____	Fax _____
E-Mail	Default means of communications _____		
If you wish to receive all communication from us via post or other means, please <input type="checkbox"/> here (See Instruction 1g on page 12)			
Kindly ensure that the e-mail address and telephone numbers mentioned above are those of the First Unitholder. These details shall be used for all communications.			

**DECLARATION**

Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme of BNP Paribas Mutual Fund, I / We hereby apply to the Trustee of BNP Paribas Mutual Fund for units of the Scheme and agree to abide by terms and conditions, rules and regulation of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I/We hereby declare that I am/ We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I/We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds /income of mine/the HUF/ the Company/Trust/ Partnership only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The abovementioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, the Prevention of Money Laundering Act, 2002, The Prevention of Corruption, 1988 Act and/or any other relevant rules/ guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made/ information provided by me/us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to reject the application / withhold the investments made by me / us and/or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC/ Mutual Fund/ Trustees may deem proper at their sole option. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I hereby confirm that BNP Paribas Mutual Fund/BNP Paribas Asset Management India Private Limited and its empanelled brokers/distributors has not given any indicative portfolio and indicative yield in any manner whatsoever.

Applicable to NRIs only: I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our If NRI, (please  )  Repatriation basis  Non-Repatriation basis

**SIGNATURE(S)**

First / Sole Applicant / Guardian

Second Applicant / Guardian

Third Applicant / Guardian

DD / MM / YYYY

**ACKNOWLEDGEMENT SLIP** (To be filled in by the Unit holder)

**BNP Paribas Mutual Fund**

**Systematic Transfer Plan (STP)**

Received from \_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Mr./Ms./M/s. \_\_\_\_\_ `STP` application for transfer of Units;

**From** Scheme \_\_\_\_\_ Option \_\_\_\_\_

Plan \_\_\_\_\_

**To** Scheme \_\_\_\_\_ Option \_\_\_\_\_

Plan \_\_\_\_\_

Fixed STP  Variable STF per  Week  Fortnight  Month  Quarter

**ISC Stamp, Date & Signature**

## STP - INSTRUCTIONS

1. The STP Enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (  ), where boxes have been provided. To start a STP, the STP Enrolment Form, complete in all respects, must reach atleast 14 working days in advance at any of the Official Points of Transactions.
2. A single STP Enrolment Form can be used for one Scheme / Plan / Option only. Investor should use separate forms for more than one Scheme / Plan / Option.
3. Investors are advised to read the Key Information Memorandum / Statement of Additional Information / Scheme Information Document of the Transferee Scheme(s) carefully before investing. The Statement of Additional Information / Scheme Information Document / Key Information Memorandum(s) of the respective Scheme(s) are available with the ISCs / distributors and are also available on our website [www.bnpparibasmf.in](http://www.bnpparibasmf.in).
4. Unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. The investor under MICRO SIP will not be able to opt for STP facility.
5. Facilities available:

STP offers unit holders the following two facilities:

- a. Fixed Systematic Transfer Facility (FSTF) where investor can issue a standing instruction to transfer sums at Weekly/ Fortnightly/ Monthly/ Quarterly (calendar quarter) intervals to plans / options within select schemes of the fund.
- b. Capital Appreciation Systematic Transfer Facility (CASTF) where the investor can issue a standing instruction to transfer the entire capital appreciation from Transferor Scheme at Weekly/ Fortnightly/ Monthly/ Quarterly intervals to designated Scheme(s) of the Fund.

Both the facilities will offer transfers at weekly, fortnightly, monthly and quarterly intervals. Unit holder is free to opt for any of the above facilities and also choose the frequency of such transfers.

6. Date of transfer / minimum amount of transfer:

FSTF / CASTF	Date of transfer	Minimum amount of transfer*
Weekly	Transfer on 1st, 7th, 15th and 25th of a month	Rs. 1000 and in multiples of Re. 1 thereafter
Fortnightly	Transfer on 1st & 15th of a month	Rs. 1000 and in multiples of Re. 1 thereafter
Monthly	Transfer on 1st or 7th or 15th or 25th of a month	Rs. 1000 and in multiples of Re. 1 thereafter.
Quarterly	Transfer on 1st or 7th or 15th or 25th of the first month of a quarter (i.e. January, April, July, October)	Rs. 1000 and in multiples of Re. 1 thereafter.

In case the STP execution dates fall on non-business day, the next business day will be considered as date of transfer.

\*The minimum amount of transfer for BNP Paribas Tax Advantage Plan (ELSS) shall be Rs. 500 & in multiples of Rs. 500 thereafter. BNP Paribas Tax Advantage Plan (ELSS) is an open ended equity linked tax savings scheme with a lock in period of 3 years from the date of allotment of units. STP for an amount / value of units not in multiples of Rs. 500 shall be deemed as a transfer request for an amount which is equal to the nearest lower multiple of Rs. 500 and the balance amount, if any, shall remain invested in the Transferor Scheme.

7. An investor has to maintain minimum balance of Rs. 5,000 in the opted Transferor scheme at the time of enrolment of STP. If the minimum balance is not maintained, the application will be liable to be rejected.
8. An investor will have to opt for a minimum of 6 installments under Weekly,

Fortnightly, Monthly option and 2 installments for Quarterly STP otherwise the STP enrolment request shall be liable to be rejected.

9. An investor has to clearly specify the name & the option of the Transferor & Transferee scheme in the enrolment form. If name of the Transferor or Transferee Scheme is not stated or incase of any ambiguity STP enrolment request shall be liable to be rejected. In absence of information, the default option for Transferee scheme shall be growth option.
10. Load structure of the Transferor Scheme & Transferee Schemes as on the date of enrolment of STP shall be applicable.
11. An investor has to select any one facility i.e. FSTF or CASTF. In case, investor doesn't select any facility or in case of any ambiguity, the STP enrolment request shall be rejected. However, incase investor has selected any one of the facility but has not selected frequency and / or date or incase of any ambiguity, by default, monthly frequency & 7th day shall be considered as frequency & execution date respectively.
12. In FSTF, in case there is no minimum amount (as specified above) available in the unit holder's account for Transfer into Transferee Scheme, the transfer shall not be executed and the request of unit holder will stand withdrawn with immediate effect.
13. In CASTF, if there is no minimum appreciation amount in the Transferor scheme for the consecutive three installments, the STP request of the unitholder will stand withdrawn with immediate effect. The capital appreciation, if any, will be calculated from the enrolment date of the CASTF under the folio, till the first transfer date [e.g. if the unit holder has been allotted units on the 23rd of September and the date of enrolment for monthly CASTF is the 1st of November and the unit holder has opted for 15th of every month as the transfer date, capital appreciation, if any, will be calculated from the 1st of November to the 15th of November (first transfer date). Subsequent capital appreciation, if any, will be the capital appreciation between the previous CASTF date (where transfer has been processed) and the next CASTF date].
14. A request for STP will be treated as a request for redemption from the Transferor scheme and subscription into the selected Transferee scheme(s), at the applicable NAV, subject to load and statutory levy, if any.
15. In case of minor applicant, the guardian can opt for STP only till the date of minor attaining majority. AMC shall suspend the standing instruction of STP enrollment from the date of minor attaining majority by giving adequate prior notice. Further, once the minor attains majority, the guardian will not be able undertake any financial and non-financial transactions including fresh registration of STP and the folio shall be frozen for the further operation till the time requisite documents for changing the status from minor to major is submitted to the Fund.
16. STP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of unit holder. A request for STP will be treated as a request for redemption from/ subscription into the respective option(s)/ plan(s) of the scheme(s), at the applicable NAV, subject to applicable load. In case the transfer dates fall on a non-business day, the next business day will be considered for this purpose.
17. The provision of 'Minimum redemption amount' specified in the Scheme Information Document of Transferor Scheme and 'Minimum application amount' specified in the Scheme Information Documents of the Transferee Schemes will not be applicable for STP.
18. Investors could choose to terminate the STP by giving a written notice at least 7 business days in advance to the Official Points of Transactions.
19. The Trustee / AMC reserve the right to change / modify the terms of the STP or withdraw this facility from time to time.
20. The enrolment form is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.